

VDA

**Basic Principles for RFID Application in the
Automotive Industry**

5500

This non-binding recommendation by the German Association of the Automotive Industry (VDA) has the following objectives:

- Standardization of technical requirements for RFID transponders
- Standardization of RFID-specific data structures
- Definition of requirements for application und usage of RFID transponders
- Standardized electronic data transfer for exchanging RFID information

Version 1.2 dated June 2015
(substitutes Version 1.1 dated April 2015)

AutoID Project Group

Publisher: Verband der Automobilindustrie
Behrenstrasse 35
10117 Berlin
Phone +49(0)30/897842-0
Telefax +49(0)30/897842-606
Internet: www.vda.de

Copyright
Reprints and any other form
of duplication is only permissible
when the source is cited.

VDA

Verband der
Automobilindustrie

Disclaimer

VDA recommendations are freely available for general use. The user is responsible for ensuring correct application for the specific case.

They represent the latest technology available at the time of issue. Application of VDA recommendations does not relieve the user from responsibility for his own actions. In this regard, all users act at their own risk. VDA and those involved with VDA recommendations do not accept any liability.

Anyone applying VDA recommendations who identifies inaccuracies or possible incorrect interpretations is invited to inform VDA immediately and any errors can thus be rectified.

Document Maintenance Summary

| Date | Action | Description |
|-------------|---------------|---|
| 2015-06-15 | Addition | Added AFI 0x90 for vehicle identification (Section 7.1) |

Table of Contents

| | | |
|-----|--|----|
| 1 | Introduction..... | 7 |
| 2 | Technical Requirements for RFID Transponders | 9 |
| 2.1 | About Passive RFID Systems | 9 |
| 2.2 | Air Interface and Band Widths..... | 10 |
| 2.3 | Structure and Size of Memory Banks..... | 10 |
| 2.4 | Transponder Types, Positioning and Mounting | 12 |
| 2.5 | Determining Factors for RFID Application | 13 |
| 2.6 | Environmental Influences and Durability | 14 |
| 3 | Data Structures for RFID Application..... | 15 |
| 3.1 | Principles for Storing Data to RFID Transponders | 15 |
| 3.2 | Alternative Data Standards (ISO/IEC, GS1)..... | 15 |
| 3.3 | Storing Data to Memory Bank 01 (ISO/IEC)..... | 15 |
| 3.4 | Storing Data to Memory Bank 11 (ISO/IEC)..... | 19 |
| 3.5 | Read/Write Protection and Kill-Command..... | 22 |
| 4 | Additional Optical Object Identification | 26 |
| 4.1 | Application of 1D/2D Labels (ISO/IEC)..... | 26 |
| 4.2 | Application of the RFID Emblem | 27 |
| 5 | RFID-Specific Data Exchange..... | 28 |
| 5.1 | Intra-Company Applications | 28 |
| 5.2 | Cross-Company Applications | 30 |
| 6 | References | 31 |
| 7 | Attachments | 32 |
| 7.1 | Application Family Identifiers (ISO/IEC) | 32 |
| 7.2 | Coding Table (6 bit)..... | 33 |
| 7.3 | Coding Example MB 01 (ISO 17367) | 34 |

Figures

| | |
|--|----|
| Figure 1: RFID Potentials in the Automotive Industry | 7 |
| Figure 2: Design of passive RFID Transponders..... | 9 |
| Figure 3: Structure of the Memory Banks (ISO/IEC 18000-63)..... | 11 |
| Figure 4: Exemplary RFID Applications..... | 13 |
| Figure 5: Structure of Memory Bank MB 01..... | 16 |
| Figure 6: Control Information in MB 11 | 19 |
| Figure 7: Tag State Diagram according to ISO/IEC 18000-63..... | 23 |
| Figure 8: RFID Emblem..... | 27 |
| Figure 9: Data Exchange between RFID Applications and IT Backend Systems | 28 |

Tables

| | |
|--|----|
| Table 1: Approved Frequency Ranges | 10 |
| Table 2: Transponder Types and Suitable Object Types..... | 12 |
| Table 3: Mounting Methods | 12 |
| Table 4: Environmental Influences | 14 |
| Table 5: ISO/IEC-Encoding of MB 01 | 18 |
| Table 6: ISO/IEC-Encoding of MB 11 (Object Length \leq 1024 bits): | 21 |
| Table 7: ISO/IEC-Encoding of MB 11 (Object Length $>$ 1024 bits):..... | 22 |
| Table 8: Look Options according to ISO/IEC 18000-63..... | 24 |
| Table 9: Appropriate Formats for 1D/2D Codes | 26 |
| Table 10: Exemplary 1D/2D Codes | 27 |
| Table 11: Basic Event Information in EPCIS Messages | 29 |
| Table 12: URI Notation for Object References (ISO/IEC, GS1)..... | 29 |
| Table 13: URI Notation for Object References (ISO/IEC, generic) | 29 |
| Table 14: URI Notation for Read Points (ISO/IEC, GS1)..... | 29 |
| Table 15: URI Notation for Locations..... | 30 |
| Table 16: Application Family Identifiers (AFI) according to ISO/IEC..... | 32 |
| Table 17: ASCII-Character-to-6-Bit-Encoding (ISO 17363-17367) | 33 |

Abbreviations

| | |
|---------|--|
| AFI | Application Family Identifier |
| an | alphanumeric |
| API | Application Programming Interface |
| ASCII | American Standard for Information Interchange |
| DI | Data Identifier |
| DSFID | Data Structure Format Identifier |
| EDIFACT | Electronic Data Interchange for Administration, Commerce and Transport |
| EOT | End of Transmission |
| EPC | Electronic Product Code |
| EPCIS | Electronic Product Code Information Services |
| GS | Group Separator |
| GS1 | Global Standards One |
| HazMat | Hazardous Materials |
| IEC | International Electrotechnical Commission |
| IP | International Protection |
| ISO | International Organization for Standardization |
| ITU | International Telecommunications Union |
| MB | Memory Bank |
| OID | Object Identifier |
| PC | Protocol Control |
| RFID | Radio Frequency Identification |
| RS | Record Separator |
| TID | Tag Identification |
| UHF | Ultra High Frequency |
| UII | Unique Item Identifier |
| UM | User Memory |
| VDA | German Association of the Automotive Industry |
| VIN | Vehicle Identification Number |
| XML | Extensible Markup Language |

1 Introduction

Radio Frequency Identification (RFID) improves process effectiveness and efficiency in the automotive industry. This particularly applies for Ultra High Frequency technology (UHF). Typical use cases are the Tracking & Tracing of vehicles, parts and (returnable) transport items (RTI). RFID has been successfully applied in the automotive industry for many years. So far the automotive industry mainly implemented RFID in closed loop applications. Recently, the automotive industry focuses on RFID applications in open loop environments.

The application of RFID in the automotive supply chain enables realizing several economic potentials:

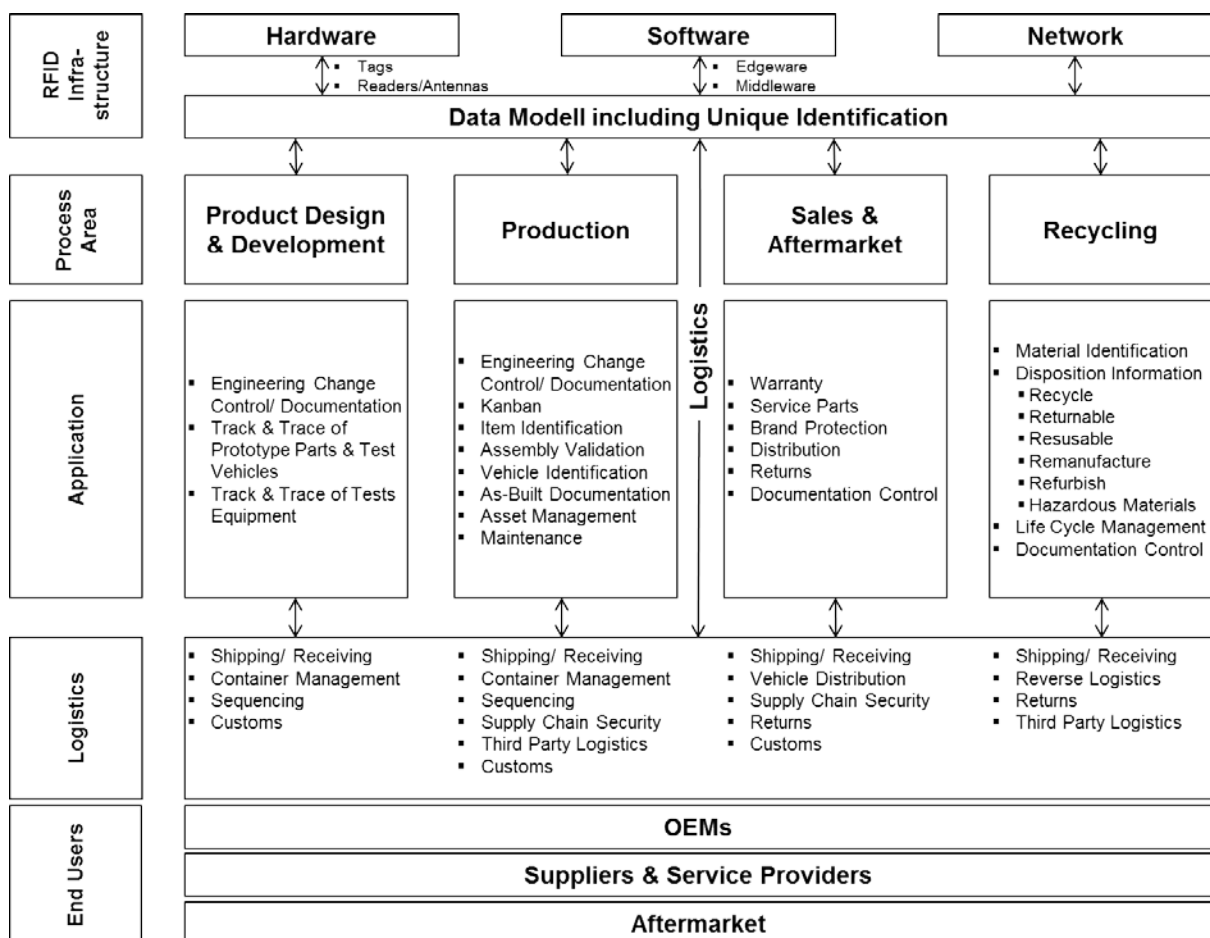


Figure 1: RFID Potentials in the Automotive Industry

Such cross-company RFID-applications require standards and recommendations to provide for industry-wide implementation. In the past few years, the German Association of the Automotive Industry (VDA) has published four industry-specific recommendations for implementing RFID technology:

- VDA 5501 - RFID for Container Management in the Supply Chain
- VDA 5509 - AutoID/RFID-Application and Data Transfer for Tracking Parts and Components in the Vehicle Development Process
- VDA 5510 - RFID for Tracking Parts and Components in the Automotive Industry
- VDA 5520 - RFID in Vehicle Distribution

These recommendations highlight some of the most significant and well-known use cases for cross-company RFID implementation.

VDA 5500 serves as a master document and summarizes general requirements for cross-company RFID applications as described in VDA 5501, 5509, 5510 and 5520. This document is organized as follows: Section 2 contains technical requirements for RFID transponders. Section 3 describes basic principles for setting up RFID-specific data structures. Section 4 covers the complementary application of optical identification such as 1D/2D codes. Section 5 addresses RFID-specific data exchange.

2 Technical Requirements for RFID Transponders

2.1 About Passive RFID Systems

Passive RFID systems include the following components:

- Stationary or mobile RFID reader and antenna(s)
- RFID transponders that are applied to objects

RFID transponders consist of microchips and antennas (Figure 2). The RFID reader transmits continuous waves, which are rectified at the transponder and used for power supply. Once the RFID transponders are activated, the RFID reader and the RFID transponders communicate via backscatter modulation. Passive RFID systems typically achieve operating ranges between 1-10 m. The RFID transponders vary in shape and size. They do not depend on additional power sources (e.g. batteries), which facilitates low cost production and operation (e.g. maintenance). Due to high operating ranges, flexibility and costs benefits passive RFID systems are particularly well-suited for industrial application.

Comment:

Conventional RFID transponders typically combine two different antenna types: Loop antennas and dipole antennas (Figure 2). Loop antennas and the dipole antennas are used for near field and far field communication respectively. The polarization of the RFID antennas strongly affects the performance of passive RFID transponders: Horizontally polarized (linear) antennas have their electric field parallel to the Earth's surface. An antenna is said to be vertically polarized (linear) when its electric field is perpendicular to the Earth's surface. A circular polarized antenna radiates in both the horizontal and vertical direction. RFID transponders perform best when the polarization of the transmitting RFID antennas and the polarization of the receiving antennas are aligned.

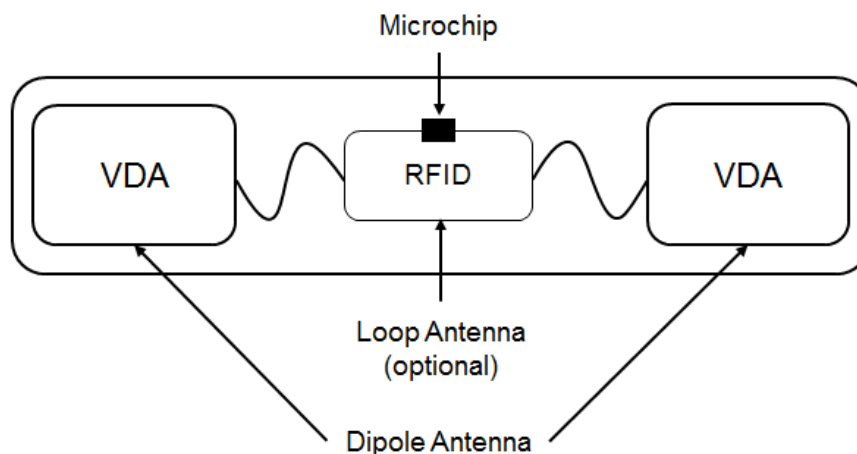


Figure 2: Design of passive RFID Transponders

2.2 Air Interface and Band Widths

Applied RFID transponders are compliant with ISO/IEC 18000-63/ EPC Class 1 Gen 2 (Air Interface). Applicable band widths differ and are defined by the International Telecommunications Union (ITU) as shown in Table 1:

| Region | Frequency Ranges (MHz) |
|---------------|------------------------|
| Europe | 865-868/ 869 |
| USA/ Canada | 902-928 |
| South America | 915 |
| South Africa | 865-868 |
| Australia | 916-926 |
| Japan | 916-921 |
| China | 920-925 |

Table 1: Approved Frequency Ranges

RFID transponders shall provide for global application. However, conventional RFID transponders are usually optimized for regional operation, which may negatively affect the transponder performance in other regions.

2.3 Structure and Size of Memory Banks

Appropriate RFID transponders contain four different memory banks (MB):

- **MB 00 `RESERVED` – Kill- and Access-Password**
Password for accessing and deactivating the RFID transponder. Maybe protected against read and write access.
- **MB 01 `EPC` – Unique Item Identifier (UII)**
Unique Reference-ID for object identification. The content of MB 01 is read by default (inventory command) and does not require dedicated read/write commands such as other memory banks. It may be protected against write access.
- **MB 10 `TID` – Tag Identification**
Manufacturer, type and model of the RFID chip. Shall also include a unique serial number issued by the transponder manufacturer. The content of MB 10 is usually protected against write access (lock).
- **MB 11 `USER` – User Memory (UM)**
Additional object and application data. May be protected against write access.

Figure 2 shows the structure and content of the different memory banks:

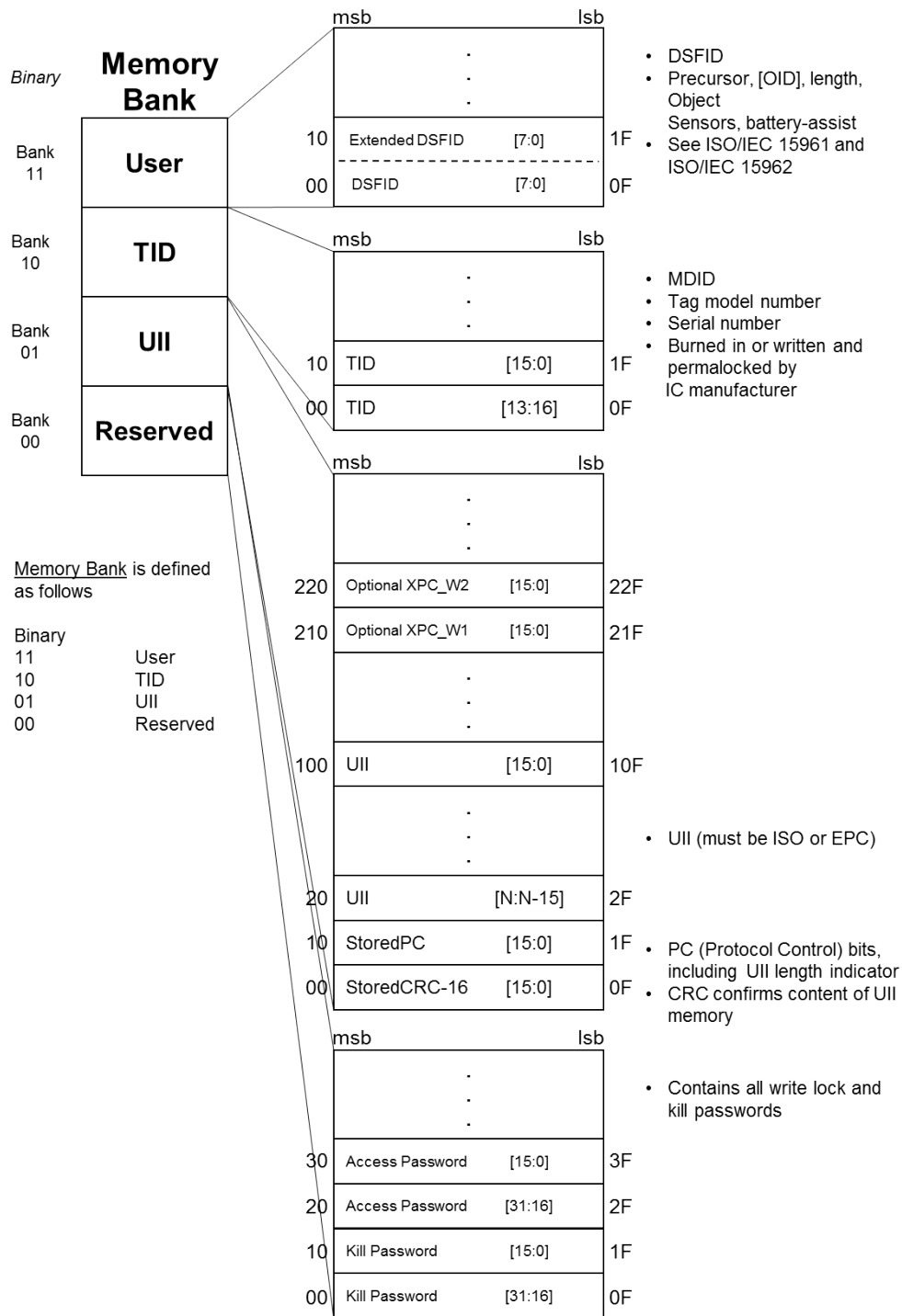


Figure 3: Structure of the Memory Banks (ISO/IEC 18000-63)

MB 01 has a memory size of 96-496 bit. Most automotive RFID applications require a memory size of 240 bits. The particular size of MB 01 and MB 11 depends on application-specific requirements which are specified in VDA 5501, 5509, 5510 and 5520 in more detail.

2.4 Transponder Types, Positioning and Mounting

The type, the positioning and the mounting of RFID transponders strongly affect the performance of RFID systems. Table 2 shows different transponder types and suitable materials they may be attached to:

| Transponder Type | Object Type | Comment |
|---------------------------------|---|---|
| Smart-Label (e.g. paper) | Plastics, textiles, glass (uncoated). | Not appropriate for metal application. |
| Flag-Tag (e.g. paper) | Plastics, textiles, glass, carbon fiber, metal. | Special type of Smart-Label. Also suitable for metal or similar. |
| Hard-Tag (e.g. plastics) | Plastics, glass (coated), carbon fiber, metal. | Distinguish between onMetal and nonMetal applications. |
| Embedded Transponder | Plastics, textiles, glass. | Transponder is integrated into the object. |
| Embedded RFID with slot antenna | Metal or similar. | Transponder is integrated into the object. Surface of the object serves as antenna. |

Table 2: Transponder Types and Suitable Object Types

Table 3 shows several mounting methods and their particular advantages and disadvantages:

| Mounting | Characteristics | Comments |
|-------------------|--|--|
| Adhesive bondings | Fast, inexpensive application. | Must meet the same requirements as the object itself (temperature, weather conditions, durability etc.). |
| Clips | Flexible, re-usable. | Temporary appliances only. |
| Rivets | Especially suited for metal sheets and light alloy. | Special tools and corrosion protection required. |
| Screws | Suitable for permanent application. No special tools required. | Requires borings and corrosion protection. |
| Magnets | Flexible, re-usable. | Requires metal surfaces. Temporary appliances only. |

Table 3: Mounting Methods

Figure 4 shows several RFID applications and suitable transponder types and fixing methods:

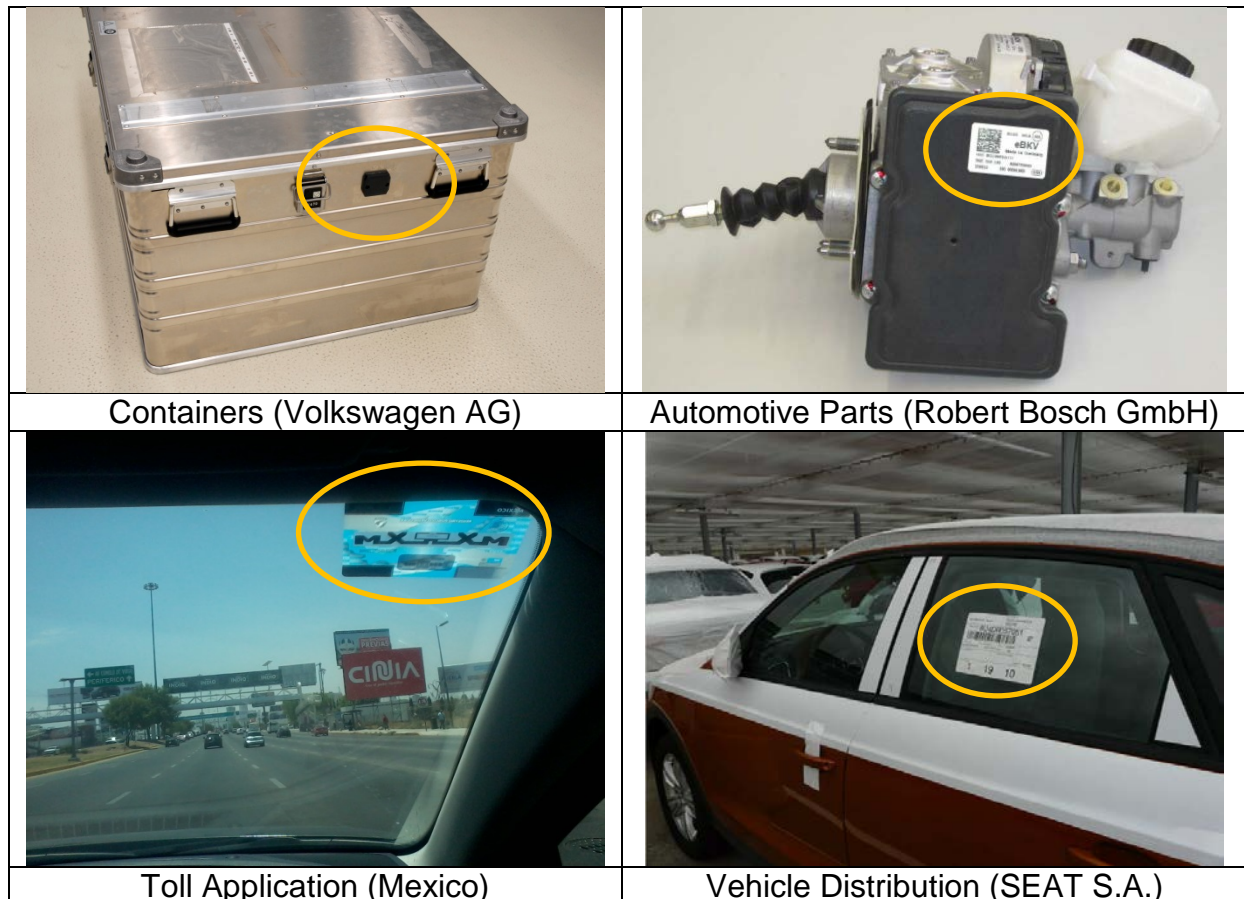


Figure 4: Exemplary RFID Applications

The choice for specific transponder types, the positioning and the mounting depends on individual application scenarios and is therefore specified in VDA 5501, 5509, 5510 and 5520. Before actually implementing an RFID solution the RFID transponders shall be tested under real-life test conditions (static, dynamic). This also includes the installation, deployment and configuration of RFID readers and antennas (stationary, mobile).

2.5 Determining Factors for RFID Application

RFID applications in the automotive industry have to deal with multiple factors that influence the overall performance of RFID transponders (e.g. read rates, operating range). This includes:

- Reflections and shielding effects (metal, liquids)
- Absorbing materials (e.g. carbon fiber)
- Materials that manipulate radio signals (e.g. glass)
- Electromagnetic interferences caused by third party radio systems
- Electrostatic discharges

2.6 Environmental Influences and Durability

Apart from the determining factors for RFID application within the automotive industry (Section 2.5) there are several environmental influences that affect the performance of passive RFID systems and the durability of RFID transponders (Table 4).

| Environmental Factors | Comments |
|-----------------------|---|
| Mechanical forces | Hits, vibrations, pressure, friction |
| Chemicals | Oil, cleansers, lubricants, acids, leaches, alcohol, tensides, solvents, salt |
| Temperature | Operating temperature, sun etc. |
| Weather conditions | Snow, rain, frost, ice, fog etc. |

Table 4: Environmental Influences

Hence, in most automotive applications RFID transponders shall meet the requirements of IP 54 (cf. IEC 60529). This includes:

- Protection against contact and dust deposit
- Protection from splashed water

Typically, the RFID transponders shall resist temperatures between -40° and +70° Celsius. This also includes the mounting (e.g. adhesive bondings). The particular requirements vary and depend on the application context. Please review VDA 5501, 5509, 5510 and 5520 for further details.

Comment

The technical specifications of RFID transponders usually distinguish between temperature resistance and operation temperature. The temperature resistance specifies the minimum and maximum temperatures the RFID transponders are able to deal with. The operation temperature indicates the temperature range in which the RFID transponders may actually be operated (read/write).

RFID transponders shall last for approximately 10 years. Please review VDA 5510, 5509, 5510 and 5520 for deviant, application-specific requirements.

3 Data Structures for RFID Application

3.1 Principles for Storing Data to RFID Transponders

Application-specific data is stored to the Memory Banks 01 and 11. The following principles apply:

- MB 01 contains control information and the Unique Item Identifier (UII). The UII contains a reference-ID which is used to uniquely identify objects and typically is used to reference additional data that is stored in supporting IT-systems. MB 01 is to be protected against write access (lock).
- MB 11 contains additional object and application data (User Memory). Storing extensive data to MB 11 may lead to constraints regarding the performance of read/write processes. At the same time the automotive industry has not agreed on binding application standards yet. Hence, the usage of MB 11 is subject to bilateral agreements.

3.2 Alternative Data Standards (ISO/IEC, GS1)

In the automotive industry two alternative standards for structuring the data contents of RFID transponders have been established:

- Data structures according to ISO/IEC principles
- Data structures according to GS1 principles

The VDA recommends the implementation of ISO/IEC standards for cross-company RFID applications. However, GS1 standards may be used for intra-company RFID applications. Using GS1 standards in cross-company scenarios requires additional bilateral agreements between the affected supply chain partners.

In the following, we describe appropriate ISO/IEC-methods for structuring data in MB 01 and MB 11. GS1 standards are not described in this document. Please review the latest GS1 standards for technical documentation.

3.3 Storing Data to Memory Bank 01 (ISO/IEC)

Memory Bank 01 contains control information and the actual reference-ID (UII) that uniquely identifies objects. Figure 5 shows the structure of MB 01.

The control information contains the following details:

- Cyclic Redundancy Check (CRC)
- Length of Application Data (16 bit Words)
- Usage of Memory Bank 11 (Yes/No)
- Usage of the Extended PC Word (Yes/No)
- Applicable Data Standard ISO/IEC vs GS1 (*Toggle Bit*)
- Application Family Identifier (AFI)

In the following, we explain how to use the *Toggle Bit* and *Application Family Identifiers* (AFI). Please review ISO/IEC 15961 for further details.

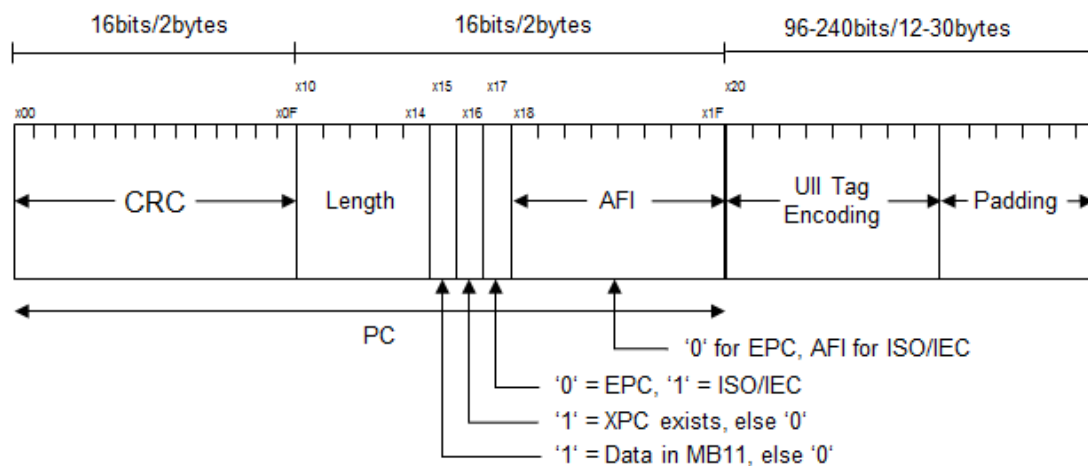


Figure 5: Structure of Memory Bank MB 01

The *Toggle Bit* (17_{hex}) defines whether the following data is structured according to ISO/IEC or GS1 principles:

- GS1-Standard: Set *Toggle Bit* to 0
- ISO/IEC-Standard: Set *Toggle Bit* to 1

Regarding the application of ISO/IEC-standards the AFI is particularly important. The AFI serves as primary filter that supports in distinguishing what kind of object has been read by the RFID application (e.g. automotive part, container). That way, the AFI supports in filtering relevant from irrelevant data. Additionally the AFI defines which kind of coding has been applied (6-bit). Available, standardized AFIs are listed in ISO/IEC 15961-2 Data Constructs Register and specified in ISO 17363-17367. Please review VDA 5501, 5509, 5510 and 5520 for choosing the right application-specific AFI.

MB 01 also contains the actual reference-ID (Ull) that uniquely identifies objects. The format of the reference-ID is compliant with ISO/IEC 15418/ANSI MH10.8.2, ISO/IEC 15961-15962 and ISO 17363-17367. Please review VDA 5501, 5509, 5510 and 5520 for further application-specific details.

The reference-ID contains Data Identifiers (DI), which identify and structure the application-specific data contents. DIs complement AFIs and serve as secondary, more specified data filters, that support in identifying what kind of object has been identified by the RFID application (e.g. container type). Applying proper DIs makes sure that the RFID data structures are compliant with established 1D and 2D solutions.

The reference-ID shall end with End of Transmission (<EoT>) according to Application Standards ISO 17363-17367, which supports in abbreviating the decoding procedure. In case the reference-ID fills the complete capacity of the Ull, the <EoT> may be omitted. The total length the reference-ID including DIs and <EoT> shall not exceed 240 bits (alphanumeric) to cope with commercially available low-cost transponders. Current standards permit up to 496 bits. The actual length of the data structure depends on the application-specific context and is defined within the Protocol Control (PC) area of the memory (5-bit length, following the 16-bit CRC declaration). The Ull length defines how many 16-bit words are used. Incomplete 16-bit words must be filled with padding bits (monomorphic) as described in ISO/IEC 15962. Please review Table 5 for further details. The Ull is 6-bit encoded; i.e. only capital letters, numeric values and a limited set of special characters may be applied (cf. Attachments, Table 17).

Comment

<EoT> and padding bits are used for control purposes and padding. They are not part of the reference-ID in the Ull (MB 01) and the data that is stored to the UM (MB 11), i.e. both <EoT> and the padding bits are removed when decoding the data and sending it to IT backend systems.

Table 5 indicates how to code and decode a complete ISO/IEC-compliant data structure:

| Bit Location (Hex) | Data Type | Value | Size | Description |
|---|---|--|-------------------------------------|---|
| MB 01: CRC + Protocol Control Word (Header) | | | | |
| 00 – 0F | CRC-16 | Hardware assigned | 16 bits | Cyclic Redundancy Check |
| 10 – 14 | Length | Variable | 5 bits | Number of 16-bit words without Protocol Control information and AFI |
| 15 | PC bit 0x15 | 0b0 or 0b1 | 1 bit | 0 = No valid User Data, or no MB 11 ₂ 1 = Valid User Data in MB 11 ₂ |
| 16 | PC bit 0x16 | 0b0 | 1 bit | 0 = "Extended PC word" not used |
| 17 | PC bit 0x17 | 0b1 | 1 bit | 1 = Data interpretation rules based on ISO/IEC vs GS1 |
| 18 – 1F | AFI | e.g. 0xA1, 0xA2 | 8 bits | Application Family Identifier used according to ISO/IEC 15961/2 and ISO 17363-17367. |
| | <i>Subtotal</i> | | <i>32 bits</i> | |
| MB 01: Unique Item Identifier (UII) with 6 bit encoding | | | | |
| Start at location 20. Go to end of data /end of available memory | Reference-ID (alphanumeric) | | n * 6 bits | Reference-ID including Data Identifier (DI) |
| | <EoT> | 0b100001 | 6 bit | End of Transmission according to ISO 17363-17367 |
| | Padding until the end of the last 16-bit word | 0b10, 0b1000, 0b100000, 0b10000010, 0b1000001000, 0b100000100000, or 0b10000010000010 | 2, 4, 6, 8, 10, 12 or 14 bits | Bit Padding Schema according to ISO/IEC 15962 Chapter 13.1 |
| | <i>Subtotal Reference-ID</i> | | <i>Variable</i> | <i>96 - 240 bit</i> |
| | <i>Total MB 01</i> | | <i>Variable</i> | <i>Up to 272 bits</i> |

Table 5: ISO/IEC-Encoding of MB 01

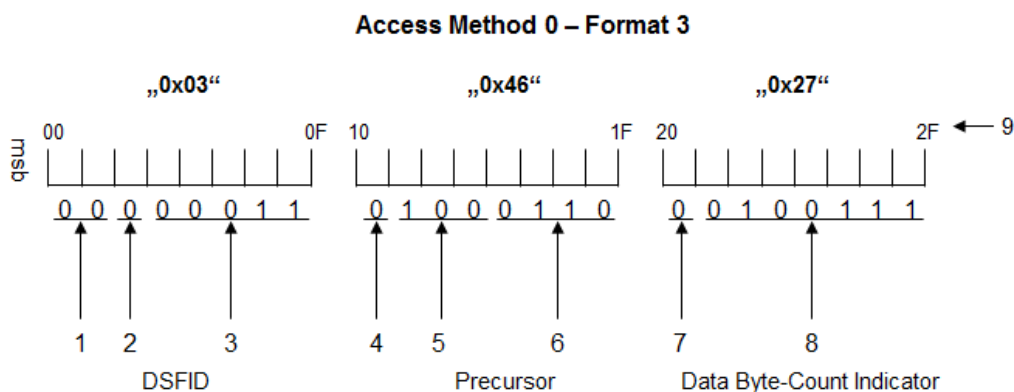
The attachments contain an example that shows how to appropriately code/encode ISO/IEC compliant data structures. Please review the referenced ISO/IEC standards and applicable VDA recommendations (5501, 5509, 5510 and 5520) for more technical and application-specific details.

3.4 Storing Data to Memory Bank 11 (ISO/IEC)

Memory Bank 11 contains object- and application-relevant data that complements the information of MB 01. That is why MB 11 is also called User Memory (UM). The format of MB 11 is aligned with MB 01; i.e. MB 11 contains both control and application data. The control information is stored to the first three bytes or four bytes of MB 11 and contains the following details:

- Data Structure Format Identifier (DSFID)
- Precursor (Compaction Code + Relative OID)
- Byte Count Indicator

Figure 6 shows the format of the control information that is stored to MB 11:



- 1 Access Method: 0 (ISO/IEC 15962)
- 2 Extended Syntax: 0 (not required)
- 3 Data Format 3 (ISO/IEC 15434)
- 4 Extension Bit (not used)
- 5 Compaction Type (6 bit)
- 6 15434 Format Envelope `06`
- 7 Byte Count Indicator Switch (set to 0 indicating final byte of byte count)
- 8 Bit values for Byte Count Indicator (depends on length of data)
- 9 Physical memory addresses (00, 0F, 10, 1F, 20, 2F)

Figure 6: Control Information in MB 11

The *Data Structure Format Identifier* (DSFID) specifies the access method and data format according to ISO/IEC 15962 (access method 0, format 3). The *Precursor* defines the coding type (6-bit) and the application of ISO/IEC 15434 compliant Data Identifiers (DI). The *Byte Count Indicator* contains the length of the application data stored to MB 11 including <EoT> and applicable Padding bits. The length of the *Byte*

Count Indicator varies between 1 byte or 2 bytes depending on whether the MB contains up to 1024 bits (≤ 128 bytes) or more (> 128 bytes). Please review Table 6 and Table 7 for more details.

The actual application data in MB 11 is structured with DIs according to ISO/IEC 15418. MB 11 may contain several data elements. Each data element is identified with appropriate DIs and separated using applicable *Separators* ($G_S = „^“$). The application of appropriate DIs is particular important because so far there are no cross-company standards regarding MB 11 application. Using standardized DI assures that the data can be interpreted.

The UM data content shall end with End of Transmission (<EoT>), which supports in abbreviating the decoding procedure. Remaining bits of the last 16 bit block (word) are filled with padding according to ISO/IEC 15962 Annex T 4.1 for MB 11. In case the UM data including DIs fills the complete capacity of the UM, the <EoT> may be omitted.

Comment

<EoT> and padding bits are used for control purposes and padding. They are not part of the reference-ID in the UII (MB 01) and the data that is stored to the UM (MB 11), i.e. both <EoT> and the padding bits are removed when decoding the data and sending it to IT backend systems.

Data contents are 6-bit encoded, i.e. only capital letters, numeric values and a limited set of special characters may be applied (cf. Attachments, Table 17). Table 6 indicates the control information in case the application data (*Object Length*) stored to MB 11 is ≤ 1024 bits:

| Position | Data Type | Value | Size | Description |
|--|-----------------------------|---------------------|----------------|---|
| MB 11: User Memory (UM): Control Information (Header) for MB 11 ≤ 1024 bits | | | | |
| 1 | DSFID | 03 _(hex) | 1 byte | Data Structure Format Identifier |
| 2 | Precursor | 46 _(hex) | 1 byte | Compaction Code + Relative OID) |
| 3a | Byte Count Indicator Switch | 0 ₍₂₎ | 1 bit | 0 ₍₂₎ declares that the next 7 bits are used to define the length of the following application data (object length ≤ 1024 bits). No additional bytes used for indicating the object length. |
| 3b | Byte Count Indicator Length | Variable | 7 bit | Object Length in Bytes |
| | <i>Subtotal</i> | | <i>24 bits</i> | |

| MB 11: User Memory (UM): User- and Application Data | | | | |
|---|------------------------------|--|-------------------------------------|--|
| 1 | Data Identifier ₁ | <DI ₁ > | 6 ... 24 bit | DI Data Element ₁ |
| 2 | Datenelement ₁ | alphanumerisch (an) | n * 6 bit | Data Element ₁ |
| 3 | Group Separator | <GS> ("^\u0000" in ASCII) | 6 bit | Separator |
| 4 | Data Identifier ₂ | <DI ₂ > | 6 ... 24 bit | DI Data Element ₂ |
| 5 | Datenelement ₂ | alphanumerisch (an) | n * 6 bit | Data Element ₂ |
| 6 | .. | .. | .. | .. |
| 7 | <EoT> | 0b100001 | 6 bit | End of Transmission according to ISO 17363-17367. |
| 8 | Padding | 0b10, 0b1000, 0b100001, 0b10000110, 0b1000011000, 0b100001100001, or 0b10000110000110 | 2, 4, 6, 8, 10, 12 or 14 bits | Padding according to ISO/IEC 15962 Annex T 4.1 for MB 11 |
| Total MB 11 | | | Variable | Up to chip limit |

Table 6: ISO/IEC-Encoding of MB 11 (Object Length ≤ 1024 bits):

As mentioned above, the content of the control section in MB 11 depends on the size of the data that is stored into MB 11. Table 7 shows the control information in case the application data (*object length*) in MB 11 is > 1024 bits:

| Position | Data Type | Value | Size | Description |
|---|-----------------------------|---------------------|----------------|---|
| MB 11: User Memory (UM): Control Information (Header) for MB 11 > 1024 bits | | | | |
| 1 | DSFID | 03 _(hex) | 1 byte | Data Structure Format Identifier |
| 2 | Precursor | 46 _(hex) | 1 byte | Compaction Code + Relative OID |
| 3a | Byte Count Indicator Switch | 1 ₍₂₎ | 1 bit | 1 ₍₂₎ declares that an additional byte is used to define the application data length (object length). The next 7 bits and the relevant 7 bits of the additional, following byte are concatenated to indicate extended application data (object length > 1024 bits) |
| 3b | Byte Count Indicator Length | Variable | 7 bit | First part of the length declaration. Applicable factor is $2^7 (3b \cdot 128 + 4b)$ |
| 4a | Byte Count Indicator Switch | 0 ₍₂₎ | 1 bit | 0 ₍₂₎ declares that the next 7 bits are used to indicate the application data length. It also declares that no further bytes are applied for object length indication (no further concatenating). |
| 4b | Byte Count Indicator Length | Variable | 7 bit | Second part of the length declaration ($3b \cdot 128 + 4b$) Example: 3b: 40000001 (128 bytes) 4b: 00000010 (2 bytes) Total Object Length: 130 byte |
| <i>Subtotal</i> | | | 32 bits | |

Table 7: ISO/IEC-Encoding of MB 11 (Object Length > 1024 bits):

Please review the referenced ISO/IEC standards and the application-specific industry recommendations VDA 5501, 5509, 5510 and 5520 for more details.

3.5 Read/Write Protection and Kill-Command

RFID-equipped objects often circulate in open-loop environments which implies that the RFID transponders are subject to potential misuse and therefore require protection. This particularly applies to MB 01 which contains the unique reference-ID. Corrupting the unique reference-ID may cause severe application and handling errors. Therefore, we recommend protecting MB 01 against write access.

Additionally, the RFID transponder shall be protected against deactivation. In the following, we describe applicable safety measures that prevent potential misuse:

Transponders that are compliant with ISO/IEC 18000-63 have two different passwords: an *Access Password* and a *Kill Password* (32 bit each). The passwords are stored to MB 00.

As soon as an RFID transponder is being addressed, it enters into one of two different states:

1. Open (factory default)
2. Secured (restricted access)

In case the RFID transponder does not contain an access password in MB 00 (*Access Password* = „0“), the transponder directly switches to the *Secure* state. Otherwise the appropriate password is required (*Access Password* \neq „0“). Figure 7 indicates the password requirements for switching from one state to the other.

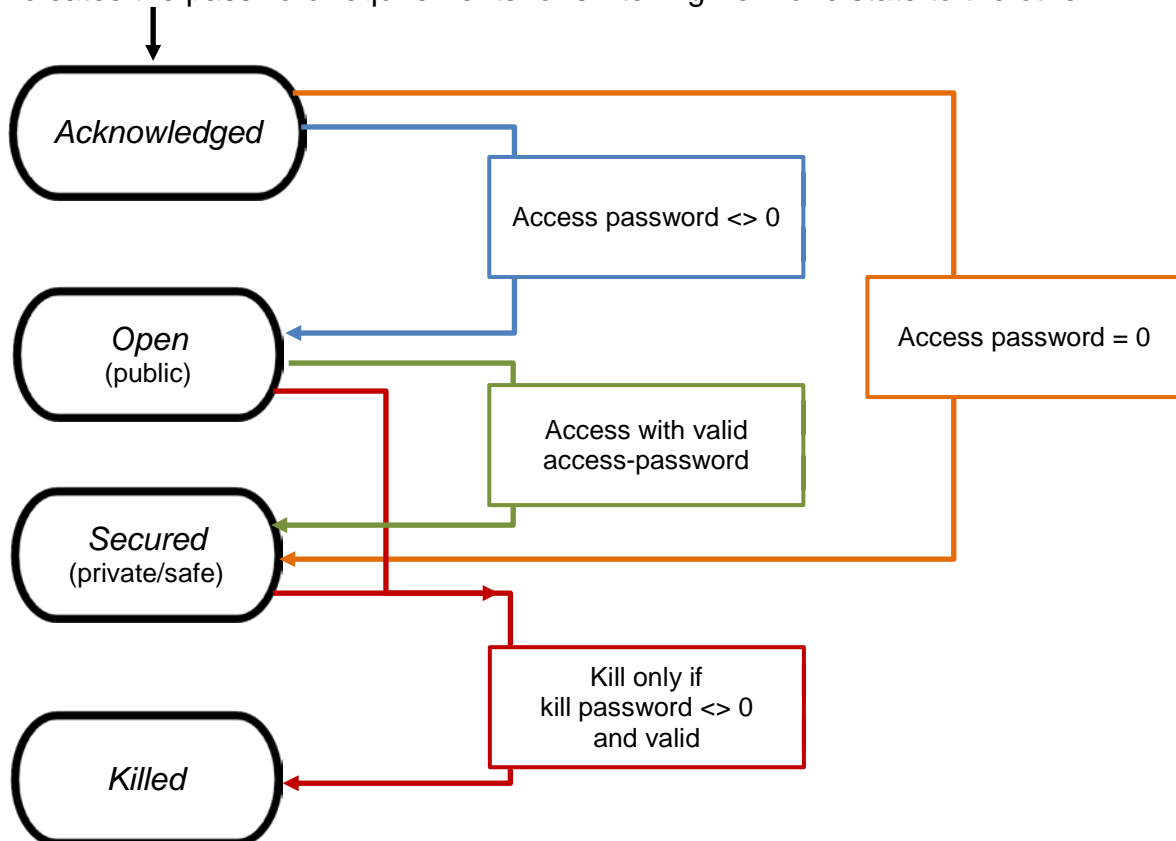


Figure 7: Tag State Diagram according to ISO/IEC 18000-63

The *Secure* state allows executing lock commands, which control the read/write access to the RFID transponder. This implies that each of the relevant memory banks of the RFID transponder is controlled separately. According to ISO/IEC 18000-63, RFID transponders provide for the following locking options:

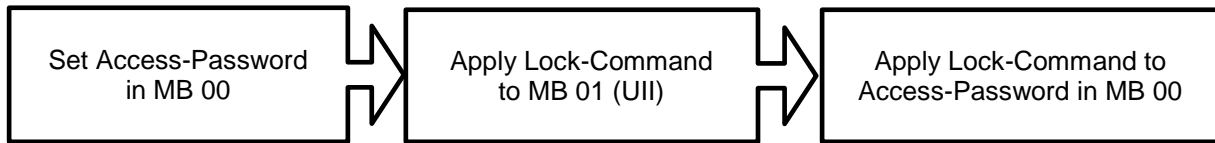
| Options | UII (MB 01) / UM (MB 11) |
|--------------------------|--|
| Unlocked | Associated memory bank is writeable from either the <i>Open</i> or <i>Secured</i> states. |
| Perma- Unlocked | Associated memory bank is permanently writeable from either the <i>Open</i> or <i>Secured</i> states and cannot be locked. |
| Locked | Associated memory bank is writeable from the <i>Secured</i> state but not from the <i>Open</i> states. |
| Perma- Locked | Associated memory bank is permanently not writeable from either the <i>Open</i> or <i>Secured</i> states. |
| Passwords (MB 00) | |
| Unlocked | Associated password location is readable and writeable from either the <i>Open</i> or <i>Secured</i> states. |
| Perma- Unlocked | Associated memory bank is permanently writeable from either the <i>Open</i> or <i>Secured</i> states and can't be locked. |
| Locked | Associated password location is readable and writeable from the <i>Secured</i> state but not from the <i>Open</i> state. |
| Perma- Locked | Associated password location is not readable or writeable from either the <i>Open</i> or <i>Secured</i> states. |

Table 8: Look Options according to ISO/IEC 18000-63

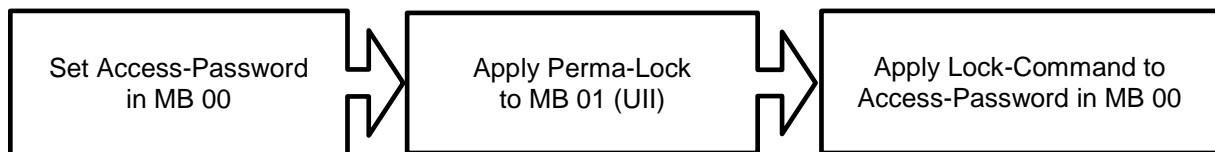
MB 00 (Passwords) may be protected against read and write access. MB 01 (UII) and MB 11 (UM) may be protected against write access only.

The kill command allows deactivating RFID transponders. Deactivating RFID transponders is irreversible. Therefore, we highly recommend protecting RFID transponders against deactivation by setting the access password in MB 00 to "Null" (cf. Figure 7).

In the following, we show how to apply the read/write protection and prevent the RFID transponder from being deactivated:

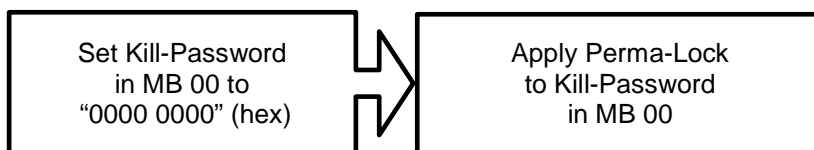
Option 1: Protect UII against write access (reversible, password required):

Locking the Access-Password in MB 00 protects the password against read access and potential misuse.

Option 2: Permanently protect UII against write access (irreversible):

Locking the Access-Password in MB 00 protects the password against read access and potential misuse. This step may be omitted when applying a perma-lock to MB 01 due to the fact that the perma-lock is irreversible and permanently protects the UII against write access even if the correct access-password is been provided.

After the write access to MB 01 has been restricted, protect the RFID transponder from being deactivated by disabling the kill-command:



Please review ISO/IEC 18000-63 for further details on read/write protection and the kill-command.

Comment

VDA 5500 exclusively addresses standardized read/write methods which are specified in ISO/IEC 18000-63. Note that several RFID equipment manufacturers implement proprietary commands for read/write protection. These commands may provide enhanced read/write options. Please review the manufacturer's technical specifications and the applicable APIs for further information on hardware-specific read/write functionalities.

4 Additional Optical Object Identification

RFID applications shall imply optical object identification such as 1D/2D codes. The compatibility and interoperability of established 1D/2D codes and RFID technology supports automotive manufacturers in managing the coexistence of 1D/2D codes and RFID and in achieving gradual migration. Beyond that 1D/2D codes provide for appropriate *Backup*.

4.1 Application of 1D/2D Labels (ISO/IEC)

RFID identification and 1D/2D codes follow the same principles. Data elements are identified using Data Identifiers (DI) as described in ISO/IEC 15418. 2D codes additionally implement a Start Sequence, a Format Indicator, Group Separators (G_S), Record Separators (R_S) and End of Transmission (E_{OT}) as specified in ISO/IEC 15434. Table 9 shows the format of appropriate 1D and 2D codes:

| Data Contents | Code 128 | DataMatrix |
|------------------------------------|--------------------|--------------------|
| Start Sequence | * |]> |
| Record Separator | * | R_S |
| Format Indicator | * | 06 |
| Group Separator | * | G_S |
| Data Identifier ₁ | <DI ₁ > | <DI ₁ > |
| Data Element ₁ | [..] | [..] |
| <i>Group Separator</i> | * | G_S |
| <i>Data Identifier₂</i> | * | <DI ₂ > |
| <i>Data Element₂</i> | * | [..] |
| .. | .. | .. |
| Record Separator | * | R_S |
| End of Transmission | * | E_{OT} |

Table 9: Appropriate Formats for 1D/2D Codes

* not applicable

Table 10 shows exemplary 1D/2D codes:

| 1D/2D Code | Data Contents |
|------------|---|
| Code 128 | <DI>[Data Element] |
| DataMatrix | [> ^R _S 06 ^G _S <DI ₁ >[Data Element ₁] ^G _S <DI ₂ >[Data Element ₂] ^R _S ^E O _T |

Table 10: Exemplary 1D/2D Codes

Unlike RFID data structures 1D/2D do not implement 6-bit but 8-bit encoding. However, VDA 5500 aims for consistency of RFID and 1D/2D data contents (hybrid application). Therefore, only 6-bit characters shall be used, i.e. capital letters, numeric values and a limited set of special characters (cf. Attachments, Table 17). If possible RFID-equipped objects shall also be identified using plain writing. VDA 5501, 5509, 5510 and VDA 5520 contain application-specific examples for appropriate optical object identification.

4.2 Application of the RFID Emblem

RFID-equipped objects shall be marked using the RFID emblem as described in ISO/IEC 29160 (Figure 8):



Figure 8: RFID Emblem

ISO/IEC 29160 provides for several, application-specific RFID emblems. Please review <http://www.rfidemblem.eu/> for further details.

5 RFID-Specific Data Exchange

The RFID-specific data exchange primarily involves to two different scenarios:

- Intra-Company data exchange between RFID devices and IT Systems
- Cross-Company data Exchange between business partners

The following sections address these scenarios in more detail:

5.1 Intra-Company Applications

EPC Information Services (EPCIS) provide for an appropriate framework to communicate captured RFID data from RFID devices to IT backend systems. The EPCIS framework is suitable for both ISO/IEC- and GS1-based data structures and includes both RFID and 1D/2D approaches.

Figure 9 visualizes the EPCIS communication between RFID applications and IT backend systems.

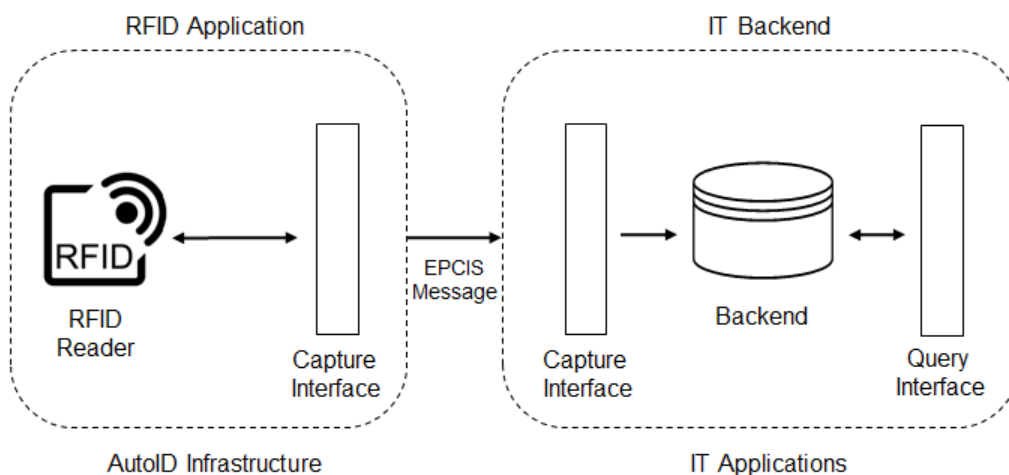


Figure 9: Data Exchange between RFID Applications and IT Backend Systems

The RFID transponders are read with stationary or mobile RFID devices which run dedicated *Capturing Applications*. The captured data is processed and converted into EPCIS messages (XML). The EPCIS messages are sent to *EPCIS Capturing Interfaces* via *http post* and are either sent to IT backend systems and/or EPCIS repositories.

EPCIS messages contain the following information:

| | |
|----------------------------|---|
| Read Events (What?) | RFID-equipped objects |
| Read Locations (Where?) | Location where the RFID event takes place |
| Time Stamp (When?) | Capturing date and time |
| Context Information (Why?) | Goods receipt, quality inspection etc. |

Table 11: Basic Event Information in EPCIS Messages

RFID Objects are described by applying Uniform Resource Identifiers (URI). In EPCIS, objects are represented using the so called *EPC Pure Identity*, i.e. the EPCIS Message contains the actual object references only and abandons additional control information.

Table 12 shows sample URIs for ISO/IEC and GS1-compliant data structures (CBV):

| Standard | Uniform Resource Identifier (URI) | Sample Uniform Resource Name (URN) |
|----------|-----------------------------------|---|
| ISO/IEC | urn:jaif:id:afi:[Pure Identity] | urn:jaif:id:A1:37SUN123456789 5Q1721095BK+123456789 |
| GS1 | urn:epc:id:sgln:[Pure Identity] | urn:epc:id:sgln:0614141.100734.400 |

Table 12: URI Notation for Object References (ISO/IEC, GS1)

ISO/IEC-based data structures include the Application Family Identifier (AFI). In some cases the AFI may not be available. Hence the AFI may not be read and copied to the EPCIS messages. In these cases the following generic approach applies:

| Standard | Uniform Resource Identifier (URI) | Sample Uniform Resource Name (URN) |
|----------|-----------------------------------|--|
| ISO/IEC | urn:jaif:id:obj:[Pure Identity] | urn:jaif:id:obj:37SUN123456789 5Q1721095BK+123456789 |

Table 13: URI Notation for Object References (ISO/IEC, generic)

1D/2D codes do not implement AFI at all. The generic approach also covers 1D/2D solutions, thus enables hybrid EPCIS approaches that account for both RFID and 1D/2D codes.

The URI representation also applies for RFID gates, mobile devices, printers etc. (ReadPoints):

| Standard | Uniform Resource Identifier (URI) | Sample |
|----------|-----------------------------------|---|
| ISO/IEC | urn:jaif:id:obj:[Pure Identity] | urn:jaif:id:obj:25SUN123456789RFIDGate00001 |
| GS1 | urn:epc:id:sgln:[Pure Identity] | urn:epc:id:sgln:0614141.12345.0 |

Table 14: URI Notation for Read Points (ISO/IEC, GS1)

The *Pure Identity* of *ReadPoints* (ISO/IEC) shall be structured according to DIN 66277.

The location where an AutoID event takes place (*bizLocation*) is described as follows:

| Standard | Uniform Resource Identifier (URI) | Sample |
|----------|-----------------------------------|-----------------------------------|
| ISO/IEC | urn:jaif:id:loc:[Pure Identity] | urn:jaif:id:loc:WOB.H55.1OG.Feld1 |
| GS1 | urn:epc:id:sgln:[Pure Identity] | urn:epc:id:sgln:0614141.54321.0 |

Table 15: URI Notation for Locations

The context of RFID events is described by *bizSteps*, e.g. goods receipt, quality inspection. Applicable *bizSteps* are specified in the Core Business Vocabulary (CBV) and the Automotive Business Vocabulary (ABV).

The particular contents of EPCIS messages vary and depend on the specific application context. Please review VDA 5501, 5509, 5510 and 5520 for further details.

5.2 Cross-Company Applications

In the automobile industry cross-company data exchange is typically based on the established EDI messaging formats (e.g. EDIFACT). Alternatively, business partners may choose to implement the *auto-gration* WebService, which was developed to meet the needs of the automotive industry. Please check <http://www.auto-gration.eu/> for further details. Both methods are suitable for exchanging object information (RFID, 1D/2D codes) as long as the chosen messaging format provides for appropriate data contents.

RFID applications enable business partners to capture and exchange more object-related information than they used to in the past. The EPCIS messaging format is one of several approaches that is suitable for coping with these requirements and was specifically designed to exchange object related data (RFID, 1D/2D codes).

However, EPCIS messages do not replace but complement existing messaging formats. They contain additional information on objects and logistic procedures, thus support business partners in capturing and exchanging object-relevant data and in improving supply chain processes. EPCIS messages follow the so called *onNetwork* approach, i.e. EPCIS messages contain the actual object references which are stored to the UII of RFID transponders and a basic set of context information as described in Section 5.1. EPCIS messages are not intended to exchange object data and related information which is stored to the UM of RFID transponders.

The general conditions for RFID-specific data exchange and the actual content of the EPCIS messages vary and depend on specific implementation scenarios. VDA 5501, 5509, 5510 and 5520 specify relevant application requirements in more detail.

6 References

- GS1 Core Business Vocabulary (CBV)
- GS1 EPC Information Services (EPCIS) Standard
- GS1 Tag Data Standard (TDS)
- GS1 General Specification Version 14, January 2014:
http://www.gs1.org/docs/gsm/barcodes/GS1_General_Specifications.pdf
- IEC 60529 - Degrees of protection provided by enclosures (IP Code)
- ISO 3779 - Road vehicles - Vehicle identification number (VIN) - Content and structure
- ISO/IEC 15417 - Information Technology - Automatic Identification and Data Capture Techniques - Code 128 bar code symbology specification
- ISO/IEC 15418 - Information Technology - Automatic Identification and Data Capture Techniques - GS 1 Application Identifiers and ASC MH 10 Data Identifiers and Maintenance
- ISO/IEC 15434 - Information Technology - Syntax for High Capacity Automatic Data Capture (ADC) Media
- ISO/IEC 15961-1 Information Technology - Radio Frequency Identification (RFID) for Item Management - Data Protocol: Application Interface
- ISO/IEC 15961-2 Data Constructs Register
- ISO/IEC 15962 - Information Technology - Radio Frequency Identification (RFID) for Item Management - Data Protocol: Data Encoding Rules and Logical Memory Functions
- ISO/IEC 16022 - Information Technology - International Symbology Specification - Data Matrix
- ISO 17363 - Supply Chain Applications of RFID - Freight Containers
- ISO 17364 - Supply Chain Applications of RFID - Returnable Transport Items
- ISO 17365 - Supply Chain Applications of RFID - Transport Units
- ISO 17366 - Supply Chain Applications of RFID - Product Packaging
- ISO 17367 - Supply Chain Applications of RFID - Product Tagging
- ISO/IEC 18000-63 - Information Technology - Radio Frequency Identification for Item Management Part 6: Parameters for Air Interface Communications
- ISO/IEC 29160 - Information Technology - Radio Frequency Identification for Item Management - RFID Emblem
- VDA 5501 - RFID for Container Management in the Supply Chain
- VDA 5509 - AutoID/RFID-Application and Data Transfer for Tracking Parts and Components in the Vehicle Development Process
- VDA 5510 - RFID for Tracking Parts and Components in the Automotive Industry
- VDA 5520 - RFID in Vehicle Distribution Processes

7 Attachments

7.1 Application Family Identifiers (ISO/IEC)

| AFI | Standards |
|-----|--|
| A1 | ISO 17367 – Supply chain applications of RFID – Product tagging |
| A2 | ISO 17365 – Supply chain applications of RFID – Transport unit |
| A3 | ISO 17364 – Supply chain applications of RFID – Returnable transport item |
| A4 | ISO 17367 – Supply chain applications of RFID – Product tagging (HazMat) |
| A5 | ISO 17366 – Supply chain applications of RFID – Product packaging |
| A6 | ISO 17366 – Supply chain applications of RFID – Product packaging (HazMat) |
| A7 | ISO 17365 – Supply chain applications of RFID – Transport unit (HazMat) |
| A8 | ISO 17364 – Supply chain applications of RFID – Returnable transport item (HazMat) |
| A9 | ISO 17363 – Supply chain applications of RFID – Freight container |
| AA | ISO 17363 – Supply chain applications of RFID – Freight container (HazMat) |
| 90 | Vehicle identified with the Vehicle Identification Number (VIN) as defined in ISO 3779 |

Table 16: Application Family Identifiers (AFI) according to ISO/IEC

7.2 Coding Table (6 bit)

| Character | Binary Value | Character | Binary Value | Character | Binary Value | Character | Binary Value |
|------------|--------------|-----------|--------------|-----------|--------------|-----------|--------------|
| Space | 100000 | 0 | 110000 | @ | 000000 | P | 010000 |
| <EOT> | 100001 | 1 | 110001 | A | 000001 | Q | 010001 |
| <Reserved> | 100010 | 2 | 110010 | B | 000010 | R | 010010 |
| <FS> | 100011 | 3 | 110011 | C | 000011 | S | 010011 |
| <US> | 100100 | 4 | 110100 | D | 000100 | T | 010100 |
| <Reserved> | 100101 | 5 | 110101 | E | 000101 | U | 010101 |
| <Reserved> | 100110 | 6 | 110110 | F | 000110 | V | 010110 |
| <Reserved> | 100111 | 7 | 110111 | G | 000111 | W | 010111 |
| (| 101000 | 8 | 111000 | H | 001000 | X | 011000 |
|) | 101001 | 9 | 111001 | I | 001001 | Y | 011001 |
| * | 101010 | : | 111010 | J | 001010 | Z | 011010 |
| + | 101011 | ; | 111011 | K | 001011 | [| 011011 |
| , | 101100 | < | 111100 | L | 001100 | \ | 011100 |
| - | 101101 | = | 111101 | M | 001101 |] | 011101 |
| . | 101110 | > | 111110 | N | 001110 | <GS> | 011110 |
| / | 101111 | ? | 111111 | O | 001111 | <RS> | 011111 |

Table 17: ASCII-Character-to-6-Bit-Encoding (ISO 17363-17367)

7.3 Coding Example MB 01 (ISO 17367)

Reference-ID (plain text)

37SUN12345678999755512300FFFAS+123456

Compaction 6-bit code in binary code **add <EoT>**

| | | | | | |
|--------|---------------|--------|--------|--------|--------|
| 110011 | 110111 | 010011 | 010101 | 001110 | 110001 |
| 110010 | 110011 | 110100 | 110101 | 110110 | 110111 |
| 111000 | 111001 | 111001 | 111001 | 110111 | 110101 |
| 110101 | 110101 | 110001 | 110010 | 110011 | 110000 |
| 110000 | 000110 | 000110 | 000110 | 000001 | 010011 |
| 101011 | 110001 | 110010 | 110011 | 110100 | 110101 |
| 110110 | 100001 | | | | |

Complete data string **including padding bits**

| | | |
|------------------|------------------|--------------------------|
| 1100111101110100 | 1101010100111011 | 0001110010110011 |
| 1101001101011101 | 1011011111100011 | 1001111001111001 |
| 1101111101011101 | 0111010111000111 | 0010110011110000 |
| 1100000001100001 | 1000011000000101 | 0011101011110001 |
| 1100101100111101 | 0011010111011010 | 0001 100000100000 |

Split into 8-bit fragments

| | | | | | |
|----------|----------|----------|----------|----------|----------|
| 11001111 | 01110100 | 11010101 | 00111011 | 00011100 | 10110011 |
| 11010011 | 01011101 | 10110111 | 11100011 | 10011110 | 01111001 |
| 11011111 | 01011101 | 01110101 | 11000111 | 00101100 | 11110000 |
| 11000000 | 01100001 | 10000110 | 00000101 | 00111010 | 11110001 |
| 11001011 | 00111101 | 00110101 | 11011010 | 00011000 | 00100000 |

Hex code representation

| | | | | | |
|----|----|----|----|----|----|
| CF | 74 | D5 | 3B | 1C | B3 |
| D3 | 5D | B7 | E3 | 9E | 79 |
| DF | 5D | 75 | C7 | 2C | F0 |
| C0 | 61 | 86 | 05 | 3A | F1 |
| CB | 3D | 35 | DA | 18 | 20 |

PC data (cf. Section 3.3):

Ull-length in 16-bit words 0b **0111 1** (30 bytes → #15 words)
 Valid User Memory: 0b **0** (no user memory)
 XPC: 0b **0** (not used – reserved)
 EPC or ISO code: 0b **1** (ISO)

All PC bits: 0b **0111 1001** (hex 79)

| Protocol Control (PC) | AFI |
|-----------------------|-----|
| 79 | A1 |

Coded Ull content:

| PC | AFI | Ull Reference | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----|-----|---------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 79 | A1 | CF | 74 | D5 | 3B | 1C | B3 | D3 | 5D | B7 | E3 | 9E | 79 | DF | 5D | 75 | C7 | 2C | F0 | C0 | 61 | 86 | 05 | 3A | F1 | CB | 3D | 35 | DA | 18 | 20 |